

CRITERION 3 – RESEARCH, INNOVATIONS AND EXTENSION

3.4. RESEARCH PUBLICATION AND AWARDS

3.4.1 The Institution has a stated Code of Ethics for research, the implementation of which is ensured by the following:

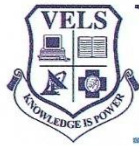
1. Research methodology with course on research ethics
2. Ethics committee
3. Plagiarism check
4. Committee on Publication guidelines

Criterion Number	3
Metric	3.4.1
Details	Course content of research ethics and details of members of ethical committee
Pages	1 to 17

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GOUNDER SARAVANAN

Date: 15-May-2024 07:59:04 PM



VELS



INSTITUTE OF SCIENCE, TECHNOLOGY & ADVANCED STUDIES (VISTAS)
(Deemed to be University Estd. u/s 3 of the UGC Act, 1956)
PALLAVARAM - CHENNAI

ACCREDITED BY **NAAC** WITH '**A**' GRADE
INSTITUTION WITH **UGC 12B** STATUS
Marching Beyond 30 Years Successfully

3.4.1 The Institution has a stated Code of Ethics for research, the implementation of which is ensured by the following:

1. Research methodology with course on research ethics ✓
2. Ethics committee ✓
3. Plagiarism check
4. Committee on Publication guidelines

S.No	Description
1	Research methodology with course on research ethics
2	Ethics committee

Campus : Velan Nagar, P.V. Vaithiyalingam Road, Pallavaram, Chennai - 600 117. INDIA.

Phone : (91-44) 2266 2500 / 2266 2501 / 2266 2502 / 2266 2503, **Fax :** (91-44) 2266 2513

Email : vels@vistas.ac.in **Website :** www.vistas.ac.in

Admin. Office : 521/2, Anna Salai, Nandanam, Chennai - 600 035. **Tele Fax :** 2431 5541 / 2431 5542

Inclusion of research ethics in the research methodology course work

- **Board of Research Studies (BoRS) - Minutes of Meeting held on 18.02.2021 for inclusion of Research and Publication Ethics (Two credit Course) for all the Ph.D Scholars.**

AMENDMENTS TO THE EXISTING REGULATIONS

Existing regulation	Amendments																								
<p>Every Candidate provisionally registered for the Ph.D. program shall undergo a coursework in the first year and submit himself / herself to written and oral examinations to be conducted by the doctoral committee. A candidate shall earn a minimum of 08 credits and a maximum of 16 credits by means of passing in the course work exam. The course work consists of the following:</p> <table style="width: 100%; border: none;"> <tr> <td style="width: 70%;">Name of the Subject</td> <td style="text-align: right;">Credits</td> </tr> <tr> <td>Paper-I: Research Methodology *</td> <td style="text-align: right;">4</td> </tr> <tr> <td>Paper -II : Background Paper of Concerned discipline</td> <td style="text-align: right;">4</td> </tr> <tr> <td>Paper-III: Background Paper on his/her Ph.D. work</td> <td style="text-align: right;">4</td> </tr> <tr> <td>Paper-IV: Background Paper on his/her Ph.D. work #</td> <td style="text-align: right;">4</td> </tr> <tr> <td>Maximum Credits</td> <td style="text-align: right;">16</td> </tr> </table> <p>* Exempted for candidates completed M.Phil programme. # Applicable only for candidates provisionally registered for Ph.D under Faculty of Engineering.</p>	Name of the Subject	Credits	Paper-I: Research Methodology *	4	Paper -II : Background Paper of Concerned discipline	4	Paper-III: Background Paper on his/her Ph.D. work	4	Paper-IV: Background Paper on his/her Ph.D. work #	4	Maximum Credits	16	<p>Every Candidate provisionally registered for the Ph.D. program shall undergo coursework in the first year and submit himself/herself to written and oral examinations to be conducted by the doctoral committee. A candidate shall earn a minimum of 07 credits and a maximum of 14 credits by means of passing the coursework exam. The course work consists of the following:</p> <table style="width: 100%; border: none;"> <tr> <td style="width: 70%;">Name of the Subject</td> <td style="text-align: right;">Credits</td> </tr> <tr> <td>*Paper-I : Research Methodology</td> <td style="text-align: right;">4</td> </tr> <tr> <td><u>Paper-II : Research and Publication Ethics (RPE)</u></td> <td style="text-align: right;">2</td> </tr> <tr> <td><u>**Paper-III : Background Paper of concerned discipline</u></td> <td style="text-align: right;">4</td> </tr> <tr> <td>Paper-IV : Background Paper on his/her Ph.D. work</td> <td style="text-align: right;">4</td> </tr> <tr> <td>Maximum Credits</td> <td style="text-align: right;">14</td> </tr> </table> <p>* Exempted for candidates who completed the M.Phil program. <u>** Can be taken either in the university or through SWAYAM NPTEL.</u></p>	Name of the Subject	Credits	*Paper-I : Research Methodology	4	<u>Paper-II : Research and Publication Ethics (RPE)</u>	2	<u>**Paper-III : Background Paper of concerned discipline</u>	4	Paper-IV : Background Paper on his/her Ph.D. work	4	Maximum Credits	14
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Minutes of the Meeting

Minutes of Sub-Committee of BORS meeting held on 18/02/2021 at 11.00 AM in the office of the IQAC. The following members attended the meeting:

Convener

1. Dr.S.Sriman Narayanan, Vice-Chancellor, VISTAS.

Members

2. Dr.M.Chandrasekaran, Director, Dept. of Mechanical Engineering, Dean (Academic Courses)
2. Dr.S.Vasanth, Professor, Department of Management, School of Management Studies.
3. Dr.T.S.Shanmugarajan, Professor, Department of Pharmaceutics, School of Pharmaceutical Sciences.
4. Dr.S.Arun, Professor, Department of CSE, School of Engineering.
5. Dr.T.Somanathan, Associate Professor, Department of Chemistry, School of Basic Sciences.

Agenda:

To consider the applications received for guideship of Ph.D. in VISTAS by the office of Dean - Academic Research till 10.02.2021.

The members of the Committee scrutinized the applications received (Total:16) and as per 'the Rules and Regulations for Ph.D 2018' and the following resolution was passed.

ITEM No.1: Resolved to recommend that the following faculty members (Total: 16) as Research Supervisors for Ph.D in various disciplines of VISTAS from January' 2021:

S.No	Name of the Applicant	Faculty	Department
1	Dr.C.Gnanavel, Assistant Professor	Faculty of Engineering	Mechanical Engineering
2	Dr.P.Geetha, Assistant Professor	Faculty of Pharmacy	Pharmacy
3	Dr.M.Ashok Kumar Associate Professor & Head	Faculty of Pharmacy	Pharmacy
4	Dr.P.Maheshwari, Assistant Prof.	Faculty of Pharmacy	Pharmacy
5	Dr.N.Deeparani Assistant Professor	Faculty of Languages	Tamil
6	Kalaimamani Dr.M.Lalitha, HOD	Faculty of Music and Fine Arts	Music
7	Dr.Muthu Deepa Assistant Professor	Faculty of Languages	English
8	B.Devipriya Assistant Professor	Faculty of Computing Sciences	Computer Science
9	Dr.J.Suganya Assistant Professor	Faculty of Life Sciences	Bioinformatics
10	Dr.Murugesan Associate Professor	Faculty of Management Studies & Commerce	Commerce
11	Dr.A.Krishnan Associate Professor	Faculty of Management Studies & Commerce	Commerce
12	Dr.C.Chitra Associate Professor	Faculty of Management Studies & Commerce	Commerce

S.No	Name of the Applicant	Faculty	Department
13	Dr.S.Maria Jesu Raja Assistant Professor	Faculty of Basic Sciences	Mathematics
14	Dr.J.Jayakanth Assistant Professor	Faculty of Engineering	Mechanical Engineering
15	Dr.C.V.Jayanthi Assistant Professor	Faculty of Yoga	Yoga
16	Dr.S. Natarajan Associate Professor	Faculty of Yoga	Yoga

ITEM No.2: It has been resolved by the members of BORS to recommend the Early-Submission of thesis of the following three Ph.D. Scholars in EEE Department:


1. Ms.T.R.Premila , 2.Ms.K.Sasikala, 3. Ms.N.Janaki.

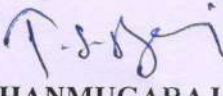
ITEM No.3: Resolved to recommend the 'two credit courses' for awareness about publication ethics and publication misconducts entitled "Research and Publication Ethics (RPE)" for all Ph.D students for pre-registration course work from January 2021 batch, as per UGC Guidelines. (Copy Enclosed)


DR.S.SRIMAN NARAYANAN


Dr.M.CHANDRASEKARAN


Dr.S.ARUN


Dr.S.VASANTHA


DR.T.S.SHANMUGARAJAN


Dr.T.SOMANATHAN



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COURSE STRUCTURE

RESEARCH AND PUBLICATION ETHICS

Campus : Velan Nagar, P.V. Vaithiyalingam Road, Pallavaram, Chennai - 600 117. INDIA.

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ANNEXURE

Course Title:

- **Research and Publication Ethics (RPE)**-Course for awareness about the publication ethics and publication misconducts.

Course Level:

- 2 Credit course (30 hrs.)

Eligibility:

- M.Phil., Ph.D. students and interested faculty members (It will be made available to post graduate students at later date)

Fees:

- As per University Rules

Faculty:

- Interdisciplinary Studies

Qualifications of faculty members of the course:

- Ph.D. in relevant subject areas having more than 10 years' of teaching experience

About the course

Course Code: CPE- RPE

Overview

- This course has total 6 units focusing on basics of philosophy of science and ethics, research integrity, publication ethics. Hands-on-sessions are designed to identify research misconduct and predatory publications. Indexing and citation databases, open access publications, research metrics (citations, h-index, Impact Factor, etc.) and plagiarism tools will be introduced in this course.

Pedagogy:

- Class room teaching, guest lectures, group discussions, and practical sessions.

Evaluation

- Continuous assessment will be done through tutorials, assignments, quizzes, and group discussions. Weightage will be given for active participation. Final written examination will be conducted at the end of the course.

Course structure

- The course comprises of six modules listed in table below. Each module has 4-5 units.

Modules	Unit title	Teaching hours
Theory		
RPE 01	Philosophy and Ethics	4
RPE 02	Scientific Conduct	4
RPE 03	Publication Ethics	7
Practice		
RPE 04	Open Access Publishing	4
RPE 05	Publication Misconduct	4
RPE 06	Databases and Research Metrics	7
	Total	30

Syllabus in detail

THEORY

- RPE 01: PHILOSOPHY AND ETHICS (3 hrs.)**
 - Introduction to philosophy: definition, nature and scope, concept, branches
 - Ethics: definition, moral philosophy, nature of moral judgements and reactions
- RPE 02: SCIENTIFIC CONDUCT (5hrs.)**
 - Ethics with respect to science and research
 - Intellectual honesty and research integrity
 - Scientific misconducts: Falsification, Fabrication, and Plagiarism (FFP)
 - Redundant publications: duplicate and overlapping publications, salami slicing
 - Selective reporting and misrepresentation of data
- RPE 03: PUBLICATION ETHICS (7 hrs.)**
 - Publication ethics: definition, introduction and importance
 - Best practices / standards setting initiatives and guidelines: COPE, WAME, etc.
 - Conflicts of interest
 - Publication misconduct: definition, concept, problems that lead to unethical behavior and vice versa, types
 - Violation of publication ethics, authorship and contributorship
 - Identification of publication misconduct, complaints and appeals
 - Predatory publishers and journals

PRACTICE

- RPE 04: OPEN ACCESS PUBLISHING(4 hrs.)**

1. Open access publications and initiatives
2. SHERPA/RoMEO online resource to check publisher copyright & self-archiving policies
3. Software tool to identify predatory publications developed by SPPU
4. Journal finder / journal suggestion tools viz. JANE, Elsevier Journal Finder, Springer Journal Suggester, etc.

- **RPE 05: PUBLICATION MISCONDUCT (4hrs.)**

- A. Group Discussions (2 hrs.)**

1. Subject specific ethical issues, FFP, authorship
2. Conflicts of interest
3. Complaints and appeals: examples and fraud from India and abroad

- B. Software tools (2 hrs.)**

Use of plagiarism software like Turnitin, Urkund and other open source software tools

- **RPE 06: DATABASES AND RESEARCH METRICS (7hrs.)**

- A. Databases (4 hrs.)**

1. Indexing databases
2. Citation databases: Web of Science, Scopus, etc.

- B. Research Metrics (3 hrs.)**

1. Impact Factor of journal as per Journal Citation Report, SNIP, SJR, IPP, Cite Score
2. Metrics: h-index, g index, i10 index, altmetrics

References

- Bird, A. (2006). *Philosophy of Science*. Routledge.
- MacIntyre, Alasdair (1967) *A Short History of Ethics*. London.
- P. Chaddah, (2018) *Ethics in Competitive Research: Do not get scooped; do not get plagiarized*, ISBN:978-9387480865
- National Academy of Sciences, National Academy of Engineering and Institute of Medicine. (2009). *On Being a Scientist: A Guide to Responsible Conduct in Research: Third Edition*. National Academies Press.
- Resnik, D. B. (2011). What is ethics in research & why is it important. *National Institute of Environmental Health Sciences*, 1–10. Retrieved from <https://www.niehs.nih.gov/research/resources/bioethics/whatis/index.cfm>
- Beall, J. (2012). Predatory publishers are corrupting open access. *Nature*, 489(7415), 179–179. <https://doi.org/10.1038/489179a>
- Indian National Science Academy (INSA), *Ethics in Science Education, Research and Governance*(2019), ISBN:978-81-939482-1-7. http://www.insaindia.res.in/pdf/Ethics_Book.pdf



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RESEARCH METHODOLOGY

UNIT I INTRODUCTION TO RESEARCH

The hallmarks of scientific research – Building blocks of science in research – Concept of Applied and Basic research – Quantitative and Qualitative Research Techniques – Need for theoretical frame work – Hypothesis development – Hypothesis testing with quantitative data. Research design – Purpose of the study: Exploratory, Descriptive, Hypothesis Testing.

UNIT II EXPERIMENTAL DESIGN

Laboratory and the Field Experiment – Internal and External Validity – Factors affecting Internal validity. Measurement of variables – Scales and measurements of variables. Developing scales – Rating scale and attitudinal scales – Validity testing of scales – Reliability concept in scales being developed – Stability Measures

UNIT III DATA COLLECTION METHODS

Interviewing, Questionnaires, etc. Secondary sources of data collection. Guidelines for Questionnaire Design – Electronic Questionnaire Design and Surveys. Special Data Sources: Focus Groups, Static and Dynamic panels. Review of Advantages and Disadvantages of various Data-Collection Methods and their utility. Sampling Techniques – Probabilistic and non-probabilistic samples. Issues of Precision and Confidence in determining Sample Size. Hypothesis testing, Determination of Optimal sample size

UNIT IV MULTIVARIATE STATISTICAL TECHNIQUES

Data Analysis – Factor Analysis – Cluster Analysis – Discriminant Analysis – Multiple Regression and Correlation – Canonical Correlation – Application of Statistical (SPSS) Software Package in Research.

UNIT V RESEARCH REPORT

Purpose of the written report – Concept of audience – Basics of written reports. Integral parts of a report – Title of a report, Table of contents, Abstract, Synopsis, Introduction, Body of a report – Experimental, Results and Discussion – Recommendations and Implementation section – Conclusions and Scope for future work.

REFERENCES:

1. Donald R. Cooper and Ramela S. Schindler, Business Research Methods, Tata McGraw- Hill Publishing Company Limited, New Delhi, 2000
2. Uma Sekaran, Research Methods for Business, John Wiley and Sons Inc., New York, 2000.
3. C.R.Kothari, Research Methodology, Wishva Prakashan, New Delhi, 2001.
4. G.W.Ticehurst and A.J.Veal, Business Research Methods, Longman, 1999.
5. Ranjit Kumar, Research Methodology, Sage Publications, London, New Delhi, 1999.

VISTAS – INSTITUTIONAL ETHICAL COMMITTEE

Vels Institute of Science Technology and Advanced Studies (VISTAS–SPS-IEC) is registered with Central Drugs Standard Control Organization (CDSCO) under Directorate General of Health Services, Ministry of Health and Family Welfare, Government of India, Central Drugs Standard Control Organization (Ethics Committee Registration Division). The Ethics committee shall review and accord approval to Clinical Trial and BA/BE study protocol of new drugs and also conduct periodic review of the studies as per the New Drugs and Clinical Trial Rules, 2019.



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INSTITUTIONAL ETHICAL COMMITTEE

- **Approval Letter**
- **Registration Number: ECR/ 288/ Indt / TN/2018/RR-2021, Dated:
20.01.2023**
- **Valid from: 01.06.2023 to 30.05.2026**

Campus : Velan Nagar, P.V. Vaithiyalingam Road, Pallavaram, Chennai - 600 117. INDIA.

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ECR/1644/VELS/Indt/TN/2017/Re-Registration-2021

Government of India

Directorate General of Health Services

Central Drugs Standard Control Organization

(Ethics Committee Registration Division)

FDA Bhawan, Kotla Road,
New Delhi – 110 002, India

Dated:

To

The Chairman**VISTAS-SPS-IEC****School of Pharmaceutical Sciences, VISTAS,****Velan Nagar, P V Vaithyalingam Road Pallavaram,****Chennai, Kanchipuram, Tamil Nadu – 600117, India.**

20 JAN 2023

Subject: Ethics Committee Registration No. **ECR/288/Indt/TN/2018/RR-21**, amendment to the composition of the Ethics Committee—regarding.**Sir/Madam,**

Please refer to your application dated 07.01.2023 submitted to this Directorate vide no. P-2972148 dated 12.01.2023 for change in composition of the Re-Registered Ethics Committee.

Based on the documents submitted by you, the composition of your Ethics Committee bearing Registration number **ECR/288/Indt/TN/2018/RR-21** dated **01.06.2021** valid until **31.05.2026** is hereby amended as follows, with all conditions of the Registration Certificate initially granted to you, remaining the same including the condition that **“the Ethics Committee shall review and accord approval to Clinical Trial and BA/BE Study protocol of new drugs and also conduct periodic review of the studies as per the New Drugs and Clinical Trial Rules, 2019”**.

Sr. No.	Name of member	Qualification	Role/Designation in Ethics Committee
1.	Dr. Melvin George	MBBS, MD (Pharmacology)	Chairperson
2.	Dr. A. Vijayalakshmi	M.Pharm (Pharmacognosy), PhD	Member Secretary
3.	Dr. Naresh Kumar Krishnamurthy	MBBS, MS (Ortho)	Clinician
4.	Dr. Deepa Gurunathan	MDS, PhD	Clinician
5.	Dr. A. Maduram	MBBS, MD (Pharmacology)	Medical Scientist
6.	Mrs. Selin Semila Rani	MA (English)	Lay Person
7.	Dr. Dilshad Shaik	LLM, PhD	Legal Expert
8.	Dr. V. Karthikeyan	LLM	Legal Expert
9.	Mr. Bijukumar K Gopinathan	BBA, Hons. Doctorate in Social Work	Social Scientist
10.	Dr. Paul Ravikumar B	MTh, DD.	Social Scientist
11.	Dr. C. Ronald Darwin	M.Pharm (Pharmacology), PhD	Scientific Member

ECR/1644/VELS/Indt/TN/2017/Re-Registration-2021

12.	Dr. A. Kathiresan	M.Sc (Microbiology), PhD	Scientific Member
13.	Dr. B. Prem Kumar	M.Pharm (Pharmacology), PhD	Scientific Member
14.	Dr. R.J. Hemalatha	ME (Biomedical), PhD	Scientific Member

Yours faithfully



(Dr. V. G. Somani)
Central Licensing Authority



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- Approval Letter
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- Valid from: 05.03.2018 to 30.05.2023

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Government of India
Ministry of Health & Family Welfare
Directorate General of Health Services
Office of Drugs Controller General (India)
Central Drugs Standard Control Organization

**FDA Bhawan, Kotla Road,
New Delhi – 110 002, India**

Dated: 05/03/2018

To

**The Chairman
Ethics Committee
(VISTAS-SPS-IEC)
School of Pharmaceutical Sciences
VISTAS, Vels University, Pallavaram
Chennai-600117, Tamil Nadu, India**

Sub: Ethics Committee Registration No. **ECR/288/Indt/TN/2018** issued under Rule 122DD of the Drugs & Cosmetics Rules, 1945.

Sir/Madam,

Please refer to your application submitted to this Directorate for the Registration of Ethics Committee.

Your Ethics Committee is hereby registered under Rule 122DD vide Registration No. **ECR/288/Indt/TN/2018** with the following composition and all the condition mentioned under the Registration certificate issued to you.

Sr. No.	Name of member	Qualification	Role/Designation in Ethics Committee
1.	Dr. J. Anbu	Ph.D (Pharmacology)	Chairman
2.	Dr. M. Vijey Aanandhi	M.Pharm, Ph.D	Member Secretary
3.	Dr. V. Mohan Ram	MBBS, DA	Clinician
4.	Dr. T.N. Uma Maheswari	MDS, Ph.D	Clinician
5.	Dr. R. Geetha	MD (Pharmacology)	Basic Medical Scientist
6.	Mr. S. Ashok Kumar	MA, ML	Legal Expert
7.	Mrs. R.Uma Maheswari	Higher Secondary	Lay Person
8.	Dr. M. Thanilarasan	MA, M.Phil, Ph.D (Sociology)	Social Scientist
9.	Rev. J. David Gnana Prakasam	BA, BD	Theologian
10.	Dr. S. Sathesh Kumar	M.Pharm, Ph.D	Scientific Member
11.	Dr. R. Sangeetha	M.Sc., Ph.D	Scientific Member
12.	Prof. M. Sekar Babu	M.Pharm	Scientific Member
13.	Dr. V. Santhosh Kumar	M.Pharm, Ph.D	Scientific Member
14.	Mrs. M. Thilagam	Diploma in Nursing	Scientific Member

Signature Not Verified

Digitally Signed by: PERIYA
GOUNDER SARAVANAN

Date: 15-May-2022 07:59:04 PM

(Dr. S. Eswara Reddy)

Drugs Controller General (I) & Licensing Authority

स्वास्थ्य सेवा महानिदेशालय
स्वास्थ्य एवं परिवार कल्याण मंत्रालय
एफ.डी.ए. भवन, कोटला रोड, आई.टी.ओ.
नई दिल्ली-110002